#### Annexure- B

### **Disclosure of Investor Complaints**

### **Investor Complaints Data to be displayed by Stock Brokers**

Data for the month ending - October-2025

| Sr. | Received                      | Carried                              | Received               | Total   | Resolved | Pending at the end of the month         |  | Average                    |
|-----|-------------------------------|--------------------------------------|------------------------|---------|----------|---|--|----------------------------|
| No. | from                          | forward<br>from<br>previous<br>month | during<br>the<br>month | Pending |          |   |  | Resolution time^ (in days) |
|     |                               |                                      |                        |         |          | Pending<br>for less<br>than 3<br>months | Pending<br>for<br>more<br>than 3<br>months |                            |
| 1   | 2                             | 3                                    | 4                      | 5       | 6        | 7                                       | 7  | 8                          |
| 1   | Directly<br>from<br>Investors | 0                                    | 0                      | 0       | 0        | 0                                       | 0  | N.A.                       |
| 2   | SEBI<br>(SCORES<br>2.0)       | 0                                    | 0                      | 0       | 0        | 0                                       | 0  | N.A.                       |
| 3   | Stock<br>Exchanges            | 0                                    | 0                      | 0       | 0        | 0                                       | 0  | N.A.                       |
| 4   | Other<br>Sources<br>(if any)  | 0                                    | 0                      | 0       | 0        | 0                                       | 0  | N.A.                       |
|     | Grand Total                   | 0                                    | 0                      | 0       | 0        | 0                                       | 0  | N.A.                       |

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints

| SN | Month           | Carried forward from previous month | Received | Resolved | Pending |
|----|-----------------|-------------------------------------|----------|----------|---------|
| 1  | 2               | 3                                   | 4        | 5        | 6       |
| 1  | April, 2025     | 0                                   | 0        | 0        | 0       |
| 2  | May, 2025       | 0                                   | 0        | 0        | 0       |
| 3  | June, 2025      | 0                                   | 0        | 0        | 0       |
| 4  | July, 2025      | 0                                   | 0        | 0        | 0       |
| 5  | August, 2025    | 0                                   | 0        | 0        | 0       |
| 6  | September, 2025 | 0                                   | 0        | 0        | 0       |
| 7  | October, 2025   | 0                                   | 0        | 0        | 0       |
|    | Grand Total     | 0                                   | 0        | 0        | 0       |

# Trend of annual disposal of complaints

| SN | Year        | Carried forward from previous year | Received<br>during the<br>year | Resolved during the year | Pending<br>at the end of<br>the year |
|----|-------------|------------------------------------|--------------------------------|--------------------------|--------------------------------------|
| 1  | 2021-22     | 0                                  | 0                              | 0                        | 0                                    |
| 2  | 2022-23     | 0                                  | 0                              | 0                        | 0                                    |
| 3  | 2023-24     | 0                                  | 0                              | 0                        | 0                                    |
| 4  | 2024-25     | 0                                  | 0                              | 0                        | 0                                    |
| 5  | 2025-26     | 0                                  | 0                              | 0                        | 0                                    |
|    | Grand Total | 0                                  | 0                              | 0                        | 0                                    |